

## Japanese Language Declaration

### 日本語宣言書

## Declaration and Power of Attorney for Patent Application

### 特許出願宣言書及び委任状

## Japanese Language Declaration

### 日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の名称が複数の場合）信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

### RECORDING MEDIUM HOLDER AND INFORMATION PROVIDING BODY

上記発明の明細書（下記の欄で×印がついていない場合は、本書に添付）は、

the specification of which is attached hereto unless the following box is checked:

☐ 年 月 日に提出され、米国出願番号または特許協定条約国際出願番号を \_\_\_\_\_ とし、  
（該当する場合） \_\_\_\_\_ に訂正されました。

☒ was filed on August 25, 2000  
as United States Application Number or PCT  
International Application Number  
09/648,017 and was amended on  
\_\_\_\_\_ (if applicable)

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編第1条56項に定義されるとおり、特許資格の有無について重要な情報を開示する義務があることを認めます。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

# Japanese Language Declaration

## 日本語宣言書

私は、米国法典第35編119条(a)-(d)項又は365条(b)項に基づき、下記の、米国以外の国の少なくとも一カ国を指定している特許協力条約365(a)項に基づく国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している本出願の前に出願された特許又は発明者証の外国出願を、以下に、枠内をマークすることで、示しています。

I hereby claim foreign priority under Title 35, United States Code, § 119(a)-(d) or § 365 (b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior foreign application(s)  
外国での先行出願

Priority Not Claimed  
優先権主張なし

11-238986 Japan  
(Number) (Country)  
(番号) (国名)

25/August/1999 ☐  
(Day/Month/Year Filed)  
(出願年月日)

                                           
(Number) (Country)  
(番号) (国名)

                     ☐  
(Day/Month/Year Filed)  
(出願年月日)

                                           
(Number) (Country)  
(番号) (国名)

                     ☐  
(Day/Month/Year Filed)  
(出願年月日)

私は第35編米国法典119条(e)項に基づいて、下記の米国特許出願規定に記載された権利をここに主張いたします。

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

                                           
(Application No.) (Filing Date)  
(出願番号) (出願日)

                                           
(Application No.) (Filing Date)  
(出願番号) (出願日)

私は、下記の米国法典第35編第120条に基づいて、下記  
の米国特許出願に記載された権利、又は米国を指定している特  
許協力条約第365条(c)に基づく権利をここに主張します。また、  
本出願の各請求範囲の内容が米国法典第35編第112条  
第1項又は特許協力条約で規定された方法で先行する米国特許  
出願に開示されていない限り、その先行米国出願書提出日以降  
で本出願書の日本国内又は特許協力条約国際出願提出日までの  
期間中に入手された、連邦規則法典第37編第1条第56項で  
定義された特許資格の有無に関する重要な情報について開示義  
務があることを認識しています。

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

                                           
(Application No.) (Filing Date)  
(出願番号) (出願日)

                                           
(Status)(patented, pending, abandoned)  
(現況：特許許可済、係属中、放棄済)

                                           
(Application No.) (Filing Date)  
(出願番号) (出願日)

                                           
(Status)(patented, pending, abandoned)  
(現況：特許許可済、係属中、放棄済)

私は、私自身の知識に基づいて本宣言書中で私が行う表明が  
真実であり、かつ私の入手した情報と私の信ずるところに基づ  
く表明がすべて真実であると信じていること、さらに故意にな  
された虚偽の表明及びそれと同等の行為は米国法典第18編第  
1001条に基づき、罰金または拘禁、もしくはその両方により処  
罰されること、そしてそのような故意による虚偽の声明を行え  
ば、出願した、又は既に許可された特許の有効性が失われるこ  
とを認識し、よってここに上記のごとく宣誓を致します。

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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委任状： 私は、下記の発明者として、本出願に関する一切の手続を米国特許商標局に対して遂行する弁理士又は代理人として、下記のものを指名致します。（弁理士、又は代理人の氏名及び登録番号を明記のこと）

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: (list name and registration number)

Michael E. Dergosits (Reg. No. 31,243); Todd A. Noah (Reg. No. 35,626), Richard A. Nebb (Reg. No. 33,540), Laurie J. Mintz (Reg. No. 35,957), Geoffrey T. Staniford (Reg. No. 43,151), Paul K. Tomita (Reg. No. 43,196) and Andrew B. Schwaab (Reg. No. 38,611)

書類送付先：

Send Correspondence to:  
DERGOSITS & NOAH LLP  
Four Embarcadero Center, Suite 1150,  
San Francisco, California 94111

直通電話連絡先：（名称及び電話番号）

Direct Telephone Calls to:  
Telephone: (415) 705-6377  
Fax: (415) 705-6383

唯一又は第一発明者名 久野良木 健	Full name of sole or first inventor Ken KUTARAGI
発明者の署名 日付	Inventor's signature Date 29 Nov. 2000
住所 日本国東京都	Residence Tokyo, Japan
国籍 日本	Citizenship Japan
郵便の宛先 日本国東京都港区赤坂7丁目1-1 株式会社ソニー・コンピュータエンタテインメント内	Post Office Address c/o SONY COMPUTER ENTERTAINMENT INC. 1-1, Akasaka 7-chome, Minato-ku, Tokyo, Japan
第二共同発明者名（該当する場合） 後藤 禎祐	Full name of second joint inventor, if any Teiyu GOTO
第二発明者の署名 日付	Second inventor's signature Date Dec. 8, 2000
住所 日本国東京都	Residence Tokyo, Japan
国籍 日本	Citizenship Japan
郵便の宛先 日本国東京都品川区北品川6丁目7-35 ソニー株式会社内	Post Office Address c/o SONY CORPORATION 7-35, Kitashinagawa 6-chome, Shinagawa-ku, Tokyo, Japan

（第三以降の共同発明者についても同様に記載し、署名をすること。）

(Supply similar information and signature for third and subsequent joint inventors.)

## Japanese Language Declaration

## 日本語宣言書

第三共同発明者名 (該当する場合) 日野 満利子	Full name of third joint inventor, if any Mariko HINO
第三発明者の署名 日付	Third inventor's signature Date <i>Mariko Hino</i> 11/28/2000
住所 日本国東京都	Residence Tokyo, Japan
国籍 日本	Citizenship Japan
郵便の宛先 日本国東京都港区赤坂7丁目1-1 株式会社ソニー・コンピュータエンタテインメント内	Post office address c/o SONY COMPUTER ENTERTAINMENT INC. 1-1, Akasaka 7-chome, Minato-ku, Tokyo, Japan
第四共同発明者名 (該当する場合)	Full name of fourth joint inventor, if any
第四発明者の署名 日付	Fourth inventor's signature Date
住所	Residence
国籍	Citizenship
郵便の宛先	Post office address
第五共同発明者名 (該当する場合)	Full name of fifth joint inventor, if any
第五発明者の署名 日付	Fifth inventor's signature Date
住所	Residence
国籍	Citizenship
郵便の宛先	Post office address
第六共同発明者名 (該当する場合)	Full name of sixth joint inventor, if any
第六発明者の署名 日付	Sixth inventor's signature Date
住所	Residence
国籍	Citizenship
郵便の宛先	Post office address

PATENT  
450128-04021  
09/648,017

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

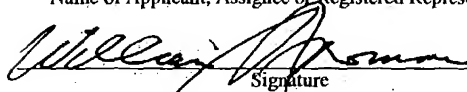
Applicant(s) : Kutaragi et al.  
Serial No. : 09/648,017  
For : RECORDING MEDIUM HOLDER AND INFORMATION  
PROVDING BODY  
Filed : August 25, 2000  
Art Unit : 2652

745 Fifth Avenue  
New York, NY 10151

I hereby certify that this correspondence is being deposited with  
the United States Postal Service as first class mail in an envelope  
addressed to: Assistant Commissioner for Patents, Washington,  
DC 20231, on.

William S. Frommer, Reg. No. 25,506

Name of Applicant, Assignee or Registered Representative

  
Signature

May 31, 2002

Date of Signature

**REVOCATION AND NEW POWER OF ATTORNEY  
AND STATEMENT UNDER 3.73(b)**

Asst. Commissioner for Patents  
Washington, D.C. 20231

Sir:

Under 37 C.F.R. §3.73(b) Sony Computer Entertainment, Inc., a corporation, certifies  
that it is the assignee of 100% of the right, title and interest in the patent application identified  
above by virtue of an assignment from the inventors of the patent application identified above.

The assignment was recorded in the Patent and Trademark Office at Reel 011371, Frame 0495  
on December 19, 2000.

The undersigned has reviewed all the documents in the chain of title of the patent  
application identified above and, to the best of undersigned's knowledge and belief, title is in the  
assignee identified above.

The undersigned, whose title is supplied below, is empowered to act on behalf of the  
assignee.

The undersigned, acting on behalf of the assignee, hereby revokes all powers of attorney  
previously granted in the application and appoints:

William S. Frommer (Reg. Number 25,506), and  
Hans R. Mahr (Reg. Number 46,138)  
Frommer Lawrence & Haug LLP  
745 Fifth Avenue  
New York, NY 10151

With full power of substitution and revocation, to prosecute the application and to transact all  
business in the United States Patent and Trademark Office connected therewith.

All correspondence regarding the application should be sent to William S. Frommer at  
Frommer Lawrence & Haug LLP, 745 Fifth Avenue, New York, NY 10151; telephone  
(212)588-0800; facsimile (212)588-0500.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Sony Computer Entertainment, Inc.

Date:

April 30, 2002

Tetsuya Kobayashi  
Tetsuya Kobayashi  
Vice-President



444.02.01  
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

MARCH 08, 2001

PTAS

MICHAEL E. DERGOSITS  
FOUR EMBARCADERO CENTER, SUITE 1150  
SAN FRANCISCO, CA 94111



\*101565913A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/19/2000

REEL/FRAME: 011371/0495  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
KUTAGARI, KEN

DOC DATE: 11/29/2000

ASSIGNOR:  
GOTO, TEIYO

DOC DATE: 11/30/2000

ASSIGNOR:  
HINO, MARIKO

DOC DATE: 11/28/2000

ASSIGNEE:  
SONY COMPUTER ENTERTAINMENT, INC.  
1-1, AKASAKA 7-CHOME  
MINATO-KU, TOKYO 107-0052, JAPAN

SERIAL NUMBER: 09648017  
PATENT NUMBER:

FILING DATE: 08/25/2000  
ISSUE DATE:

RECEIVED

MAR 12 2001

DERGOSITS & NOAH



011371/0495 .PAGE 2

STEVEN POST, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231



\*101565913B\*

AUTHORIZATION TO CHARGE ADDITIONAL FEES TO DEPOSIT ACCOUNT

MARCH 08, 2001

TO: OFFICE OF FINANCE  
FROM: ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS  
SUBJECT: DEPOSIT ACCOUNT CHARGE(S)

CHARGE THE DEPOSIT ACCOUNT LISTED BELOW ADDITIONAL FEE(S) AS INDICATED BELOW. AUTHORIZATION TO CHARGE ADDITIONAL FEES HAS BEEN PROVIDED PER THE ATTACHED COVER SHEET, OR BY DIRECT CONTACT WITH THE CUSTOMER.

DEPOSIT ACCOUNT NUMBER: 040822  
PROPERTY NUMBER: 09648017

RECORDING FEES:

FEE CODE: 581 AMOUNT: 40

IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.

STEVEN POST, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

TRADEMARK SERVICE FEES:

FEE CODE:

RECORDING FIRST MARK	481
RECORDATION SECOND AND SUBSEQUENT MARK IN SAME DOCUMENT	482
LABOR CHARGES FOR SERVICES PER HOURS OR FRACTION THEREOF	484
UNSPECIFIED OTHER SERVICES	485

PATENT SERVICE FEES:

RECORDING EACH PATENT ASSIGNMENT, AGREEMENT, OTHER PAPER, PER PROPERTY	581
---	-----

LABOR CHARGES FOR SERVICES PER HOUR	584
(\$30) OR FRACTION THEREOF	
UNSPECIFIED OTHER SERVICES	585

FORM PTO-1595  
(Rev. 6-93)

CMB No. 0651-0011 (exp. 4/94)

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RECORD  
PA

12-29-2000



101565913

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Assistant Commissioner of Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Ken Kutagari  
Teiyo Goto  
Mariko Hino

*MHO*  
*12-19-00*

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: \_\_\_\_\_

2. Name and address of receiving party(ies)

Name: Sony Computer Entertainment, Inc.

Internal Address: 1-1, Akasaka 7-chome

Minato-ku, Tokyo 107-0052 JAPAN

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): 09/648,017

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)  
09/648,017

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael E. Dergosits, Esq.

Internal Address: Four Embarcadero Center, Suite 1150

San Francisco, CA 94111

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

6. Total Number of applications and patents involved: ①

7. Total fee (37 CFR 3.41) .....\$ 40.00

☒ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

04-0822

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Paul K. Tomita, Reg. 43,196  
Name of Person Signing

*Paul K. Tomita*  
Signature

December 13, 2000  
Date

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents, Box Assignments

Docket Number: 444.02.01

ASSIGNMENT

WHEREAS, I, as a below named inventor, residing at the address stated next to my name, am a sole inventor (if only one name is listed below) or a joint inventor (if plural names are listed below) of certain new and useful improvements in:

**RECORDING MEDIUM HOLDER AND INFORMATION PROVIDING BODY**

for which application for Letters Patent of the United States of America was executed by me on the date indicated next to my name and address;

AND WHEREAS, Sony Computer Entertainment Inc., a Japanese corporation with offices at 1-1, Akasaka 7-chome, Minato-ku, Tokyo, Japan (hereinafter referenced as ASSIGNEE) is desirous of acquiring all interest in, to and under said invention, said application disclosing the invention and in, to and under any Letters Patent or similar legal protection which may be granted therefor in the United States and in any and all foreign countries;

NOW THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I, as a sole or joint inventor as indicated below, by these presents do hereby assign, sell and transfer unto the said ASSIGNEE, its successors, assigns, and legal representatives, the entire right, title and interest in the said invention, said application, including any divisions and continuations thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said invention, and in and to any and all priority rights and/or convention rights under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and to any other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, and I hereby authorize and request the Commissioner of Patents to issue the said United States Letters Patent to said ASSIGNEE, as the assignee of the whole right, title and interest thereto;

And I further agree to execute all necessary or desirable and lawful future documents, including assignments in favor of ASSIGNEE or its designee, as ASSIGNEE or its successors, assigns and legal representatives may from time-to-time present to me and without further remuneration, in order to perfect title in said invention, modifications, and improvements in said invention, applications and Letters Patent of the United States and countries foreign thereto;

And I further agree to properly execute and deliver and without further remuneration, such necessary or desirable and lawful papers for application for foreign patents, for filing subdivisions of said application for patent, and or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid invention, as the ASSIGNEE thereof shall hereafter require and prepare at its own expense;

And I further agree that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to me and will testify as to the same in any interference or litigation related thereto;

And I hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

And I hereby authorize and request my attorney(s) of record in this application to insert the application number and filing date of this application in the spaces that follow: Application Number: 09/648,017, Filing Date: August 25, 2000.

This assignment executed on the dates indicated below.

Ken KUTARAGI

Name of First or Sole Inventor

29 Nov. 2000

Execution Date of U.S. Patent Application

Tokyo, Japan

Residence of First or Sole Inventor

Ken Kutaragi

Signature of First or Sole Inventor

29 Nov. 2000

Date of this Assignment

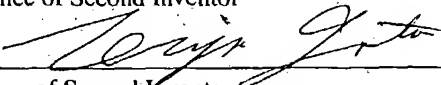
Docket Number: 442.02.01

Teiyu GOTO

Name of Second Inventor

Tokyo, Japan

Residence of Second Inventor

  
Signature of Second Inventor

Nov. 30, 2000  
Execution Date of U.S. Patent Application

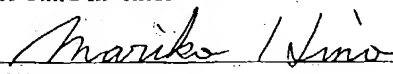
Nov. 30, 2000  
Date of this Assignment

Mariko HINO

Name of Third Inventor

Tokyo, Japan

Residence of Third Inventor

  
Signature of Third Inventor

Nov. 28, 2000  
Execution Date of U.S. Patent Application

Nov. 28, 2000  
Date of this Assignment

\_\_\_\_\_  
Name of Fourth Inventor

\_\_\_\_\_  
Execution Date of U.S. Patent Application

\_\_\_\_\_  
Residence of Fourth Inventor

\_\_\_\_\_  
Signature of Fourth Inventor

\_\_\_\_\_  
Date of this Assignment

\_\_\_\_\_  
Name of Fifth Inventor

\_\_\_\_\_  
Execution Date of U.S. Patent Application

\_\_\_\_\_  
Residence of Fifth Inventor

\_\_\_\_\_  
Signature of Fifth Inventor

\_\_\_\_\_  
Date of this Assignment